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(71) Applicant (for all designated States except US): THE UNITED STATES OF AMERICA, represented by THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES [US/US]; National Institutes of Health, Office of Technology Transfer, Suite 325, 6011 Executive Boulevard, Rockville, MD 20852 (US).

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- (75) Inventors/Applicants (for US only): ERICKSON, John, W. [US/US]; 5406 Jefferson Boulevard, Frederick, MD 21703 (US). GULNIK, Sergei, V. [US/US]; 8004 Meadowview Drive, Frederick, MD 21702 (US).
- (74) Agents: GAGALA, Bruce, M. et al.; Leydig, Voit & Mayer, Ltd., Suite 4900, Two Prudential Plaza, 180 North Stetson, Chicago, IL 60601-6780 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

Without international search report and to be republished upon receipt of that report.

(54) Title: FITNESS ASSAY AND ASSOCIATED METHODS

(57) Abstract

The present invention provides an assay for determining the biochemical fitness of a biochemical species in a mutant replicating biological entity relative to its predecessor. The present invention further provides a continuous fluorogenic assay for measuring the anti–HIV protease activity of protease inhibitor. The present invention also provides a method of administering a therapeutic compound that reduces the chances of the emergence of drug resistance in therapy. The present invention also provides a compound of formula (I) or a pharmaceutically acceptable salt, a prodrug, a composition, or an ester thereof, wherein A is a group of formulas (A), (B), (C) or (D); R^1 , R^2 , R^3 , R^5 or R^6 is H, or an optionally substituted and/or heteroatom–bearing alkyl, alkenyl, alkynyl, or cyclic group; Y and/or Z are CH₂, O, S, SO, SO₂, amino, amides, carbamates, ureas, or thiocarbonyl derivatives thereof, optionally substituted with an alkyl, alkenyl, or alkynyl group; n is from 1 to 5; X is a bond, an optionally substituted methylene or ethylene, an amino, O or S; Q is C(O), C(S), or SO₂; m is from 0 to 6; R^4 is OH, =O (keto), NH₂, or alkylamino, including esters, amides, and salts thereof; and W is C(O), C(S), S(O), or SO₂. Optionally, R^5 and R^6 , together with the N–W bond of formula (I), comprise a macrocyclic ring.

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- 6. The assay of claim 2, wherein said infectious microorganism is a malarial parasite.
- 7. The assay of claim 6, wherein said malarial parasite is a plasmodium species.
 - 8. The assay of claim 2, wherein said infectious microorganism is a bacterium.
- 9. The assay of claim 1, wherein said predecessor is a cancer cell.
- 10. The assay of claim 9, wherein said cancer replicating biological entity is a rapidly growing tumor 15 cell.
 - 11. The assay of any one of claims 1-10, wherein said biochemical target of said predecessor is an enzyme and said compound inhibits said enzyme of said predecessor.
 - 12. The assay of any one of claims 3-5, wherein said biochemical target of said predecessor is a viral protease, a viral reverse transcriptase, a viral polymerase, a viral enzyme, or a viral protein.
 - 13. The assay of claim 6 or 7, wherein said biochemical target of said malarial parasite is a plasmepsin, a plasmodial enzyme, or a protein.

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- 36. The method of claim 35, wherein $K_{\text{inh-mut}}$, $K_{\text{inh-pred}}$, $k_{\text{cat-mut}}$, $k_{\text{cat-pred}}$, $K_{\text{M-mut}}$, and $K_{\text{M-pred}}$ are each measured.
- 5 37. The method of claim 35 or 36, wherein K_{inh} is K_i .
 - 38. The method of claim 35 or 36, wherein K_{inh} is K_d .
- 39. The assay of claim 1, wherein said predecessor is a wild-type HIV strain and said mutant has at least one mutation in the biochemical target thereof.
- 40. The method of claim 20, wherein said replicating disease-causing replicating biological entity is a wild-type HIV strain and said mutant has at least one mutation in the biochemical target thereof.
- 41. The assay of claim 1, wherein said predecessor has at least one mutation in the biochemical target
 20 thereof, and said mutant has at least two mutations in the biochemical target thereof.
- 42. The method of claim 20, wherein said replicating disease-causing replicating biological entity
 25 has at least one mutation in the biochemical target thereof, and said mutant has at least two mutations in the biochemical target thereof.
- 43. The method of claim 39 or 40, wherein said 30 mutant has at least one active site mutation.

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1!

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US

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(54) Title: FITNESS ASSAY AND ASSOCIATED METHODS

(57) Abstract

The present invention provides an assay for determining the biochemical fitness of a biochemical species in a mutant replicating biological entity relative to its predecessor. The present invention further provides a continuous fluorogenic assay for measuring the anti-HIV protease activity of protease inhibitor. The present invention also provides a method of administering a therapeutic compound that reduces the chances of the emergence of drug resistance in therapy.

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Inte onal Application No PCT/US 99/14119

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C07D493/04 C07D491/04 C07D495/04 A61K31/34 C12Q1/37 A61K31/445

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC } 6 & \mbox{C07D} & \mbox{C12Q} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 766 842 A (HEEFNER DONALD L ET AL.) 16 June 1998 (1998-06-16) column 1, line 12 - line 40; claims 1,20	1,5,12
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X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed 	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
6 June 2000	1 3. 6. 00]
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Kyriakakou, G

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Inte onal Application No PCT/US 99/14119

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x	BORMAN, ANDREW M. ET AL: "Resistance of human immunodeficiency virus type 1 to protease inhibitors: selection of resistance mutations in the presence and absence of the drug" J. GEN. VIROL., vol. 77, no. 3, 1996, pages 419-426, XP002126127 abstract	1,5,12
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Intel onal Application No
PCT/US 99/14119

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	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Α	US 5 502 060 A (WAYNE J. THOMPSON) 26 March 1996 (1996-03-26) cited in the application the whole document	47-62

International application No. PCT/US 99/14119

Box I Obs rvations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: see FURTHER INFORMATION sheet PCT/ISA/210
2. X Claims Nos.: 1-45 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: see FURTHER INFORMATION sheet PCT/ISA/210
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

International Application No. PCT/US 99 /14119

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-62

1. Claims: 1-46

Assays for determining evolutionary response of a viral protease to a protease inhibitor and methods of administering compounds identified using this assay, and afluorigenic assay for measuring anti-Hiv protease activity of a protease inhibitor.

2. Claims: 47-62

Method of preventing the development of drug resistance in an HIV infected mammal by administering compounds that inhibit development of drug-resistance.

International Application No. PCT/US 99 /14119

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Although claims 47-62 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

Further defect(s) under Article 17(2)(a):

Claims Nos.: 20-45

Rule 39.1(iv) PCT- Method of treatment of the human or animal body by therapy

Continuation of Box I.2

Claims Nos.: 1-45

The claims so lack support, and the application so lacks disclosure, that ameaningful search over the whole of the claimed scope is impossible. Present claims 1-45 relate to a large number of possible assays. In fact, the claims contain so many options, variables, that a lack of clarity and conciseness within the meaning of Article 6 PCT arises to such an extent as to render a meaningful search of the claims impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear (and/or concise), namely for an assay for determination of the activity of a viral protease of a mutant HIV-1 or HIV-2 in relation to its predecessor comprising obtaining a predecessor, determining the activity of the protease of said predecessor in the presence of a protease inhibitor, determining the activity of said protease inhibitor and comparing the two protease activities. (i.e. the first subject-matter of claim 12 when referring back to claims 1 via claim 5).

The description does not provide a proper support within the meaning of Article 5 and 6 PCT for any other embodiment covered by claims 1-45.

Morever claims 20-45 refer to therapeutic compounds which are not characterised by any technical feature which would allow the formulation of a search for these claims or which would allow a meaningful comparison with methods of the state of the art.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

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